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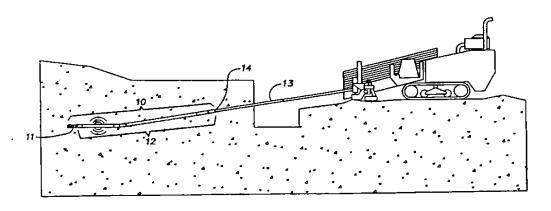
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(54) Title: INTEGRATED TRANSMITTER SURVEYING WHILE BORING (SWB) ENTRENCHING POWERING DEVICE FOR THE CONTINUATION OF A GUIDED BORE HOLE



(57) Abstract: A bottom hole assembly (10) for horizontal directional drilling that improves the accuracy of surveying while boring by enabling the progress of the bore to be monitored and tracked with the aid of a sonde (108). In one embodiment the sonde (108) is received in the wall of a housing area (41) of a mud motor (12) surrounding the bearing mandrel (18), in another embodiment the sonde (108) is carried in the wall of a collar (126) surrounding the bearing mandrel housing (18), and in an additional embodiment the sonde (108) is carried in an adapter (131) between the bearing mandrel (18) and the bit (11).



1	INTEGRATED TRANSMITTER SURVEYING W	HILE
2	BORING (SWB) ENTRENCHING POWERING D	EVICE
3	FOR THE CONTINUATION OF A GUIDED BOR	

4 This application claims the priority of U.S.

- 5 Provisional Application No. 60/174,487, filed January 4,
- 6 2000 and U.S. Provisional Application No. 60/203,040,
- 7 filed May 9, 2000.

8 BACKGROUND OF THE INVENTION

9 The invention relates to horizontal directional 10 drilling and, in particular, to improvements in bottom

11 hole assemblies for such drilling techniques.

their placement and "as built" records.

12 PRIOR ART

Horizontal directional drilling methods are well known and can offer many advantages over traditional open trench digging operations. There remains a need for greater precision in monitoring and guiding the course of the hole as it is being bored. This need is particularly acute in utility easements and like corridors where pre-existing lines are located often without precision in

As used herein, the terms "sonde" and
"monitoring/tracking device" are used interchangeably to
mean a device known in the trenchless boring industry as
a surveying device for the monitoring and tracking of a
bore hole. The term "boring device" refers to equipment
such as a rock tricone drill bit, a poly-diamondcrystalline (PDC) bit, or any other device known in the
art to drill or lengthen a bore hole. Finally, the terms
"entrenching powering device" and "mud motor" are used
interchangeably for a device generally known in the art
used to rotate a boring device, without turning the drill

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pipe/drill string, by some type of drilling rig to
continue a hole or bore.

Known horizontal directional drilling bottom hole assemblies typically include a sonde that transmits electromagnetic signals indicating the pitch (from horizontal), the clock (roll about a horizontal axis clockwise or counterclockwise from a reference of say 12 o'clock), and the depth of the sonde. The sonde also enables a person sweeping the corridor with a receiver or detector to locate the horizontal or lateral position of the sonde in the specified corridor.

Because of limitations of current tooling, the transmitter/guidance system or sonde is ordinarily located a considerable distance away from the boring device when an entrenching powering device is used. The sonde may only be as close as about 20 feet and as far as about 50 feet from the boring device. This is due to the fact that an entrenching powering device has generally not been designed to integrate a sonde. The distance between the sonde and the boring device is a major concern for drillers in the utility business, especially when they encounter a job with very restrictive parameters in terms of drilling path.

The sonde transmits a signal that indicates where the sonde is located which can be 20 feet + behind the boring device. This type of drilling has been described as driving a car forward, from the back seat looking out the rear window. A driller only "sees" where he has already drilled, not where he is currently drilling. This becomes a major problem if the boring device veers off course and begins boring outside a designated corridor. The operator will not know there is a potential problem until the boring device is 20 feet + off course. If the driller waits longer to see if the boring device steers back on course, the boring device

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- may continue even further off course. This causes a risk 1
- that the driller may destroy cable lines, gas lines, or 2
- the like and if such destruction occurs it is not only 3
- expensive but dangerous as well. 4

SUMMARY OF THE INVENTION

The invention provides an improved bottom hole 6 assembly for horizontal directional drilling in which the 7 sonde is carried ahead of the power section of the 8 entrenching powering device or mud motor. In a presently 9 preferred embodiment, the sonde is located in a pocket 10 formed in the wall of a housing of the entrenching 11 powering device that surrounds a bearing mandrel or bit 12 13 driving shaft. More specifically, the sonde receiving pocket is nestled 14

axially between thrust bearings supporting the mandrel 15

and a flex shaft transmission that couples the power 16

This forward location of the section to the mandrel. 17

sonde greatly improves the accuracy of surveying while 18

boring the hole so as to facilitate placement of the hole 19

and ultimate line in the intended path. 20

The disclosed mounting arrangement for the sonde readily allows the sonde to be adjusted for a proper clock orientation and is somewhat resilient to limit vibrational forces transmitted to the sonde during operation.

Other mounting structures for the sonde are 26 disclosed. Each of these structures offers improved 27 boring accuracy over prior art constructions by enabling the sonde to be positioned relatively close to the boring 29 30 device.

BRIEF DESCRIPTION OF THE DRAWINGS 31

FIG. 1 is a side elevational view of a bottom hole 32

assembly and a portion of a trailing drill string; 33

FIGS. 2A through 2D is a longitudinal cross 1 sectional view of a mud motor constructed in accordance 2 with the invention; 3 FIG. 3 is a fragmentary perspective exploded view of 4 a portion of the mud motor and the sonde; 5 FIG. 4 is a transverse cross sectional view of the 6 mud motor taken in the plane 4-4 indicated in FIG. 2B; 7 FIG. 5 is a side view, partially in section, of a 8 second embodiment of the invention; and 9 FIG. 6 is a side view, partially in section, of a 10 third embodiment of the invention. 11

DESCRIPTION OF THE PREFERRED EMBODIMENTS

with reference particularly to FIGS. 1, 2A - 2D, 5 and 6, parts towards the left are sometimes hereafter referred to as forward parts in the sense of the drilling direction, it being understood that in such figures, the drilling direction is to the left; the rearward or trailing end of such parts, conversely, is shown to the right. The forward direction can be equated with a downward direction and the rearward direction can be equated with an upper direction where drilling is vertical.

Referring now to FIG. 1, a bottom hole assembly 10 comprises a boring device or bit 11 and an entrenching powering device or mud motor 12 having its forward end carrying the bit 11. A drill string 13 is coupled to a trailing end 14 of the mud motor 12 in a conventional fashion.

The mud motor 12, as shown in FIGS. 2A - 2D includes a hollow cylindrical bearing mandrel 18 having a central through bore 19. The bit 11 is coupled to a bit box 21 formed in the forward end of the bearing mandrel 18. Thus, the bearing mandrel 18 is enabled to drive the bit

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1 11 in rotation and to transmit thrust from the drill
2 string 13.

Adjacent its forward end 22, the bearing mandrel 18 is rotationally supported in a lower tubular cylindrical housing 23 by a set of radial bearings 24. A conical shoulder 28 of the bearing mandrel 18 is received in a conical bore 29 of a radial ring 31. A radial face of the ring 31 is arranged to abut an adjacent one of the set of radial bearings 24. Male threads 36 of the lower or forward housing 23 couple with female threads 38 in a forward end 39 of an elongated hollow circular outer housing 41.

Sets of thrust bearings 44, 46 are assembled on a carrier nut 47 at opposite sides of an annular flange 48. The carrier nut 47 is threaded onto an externally threaded part 49 of the bearing mandrel 18. The carrier nut 47 is locked in position on the bearing mandrel 18 by set screws 51 spaced about the periphery of the flange 48.

Sleeve bearings 53, of suitable self-lubricating material such as the material marketed under the registered trademark DU® are received in counterbores 54 formed in the outer housing 41 and serve to rotationally support the mid and trailing length of the bearing mandrel 18. A longitudinal bore 56 in the surrounding outer housing 41 provides clearance for the main length of the bearing mandrel 18.

An annular piston 59 floats on a rearward part of the mandrel 18 in a counterbore 61 in the outer housing 41. The piston 59 retains lubricant in the annular zones of the bearings 53, 44 and 46. A circular bearing adapter 62 is threaded onto the rear end of the bearing mandrel 18. A plurality of holes 63 distributed about the circumference of the adapter 62 are angularly drilled or otherwise formed in the adapter to provide mud flow

- 1 from its exterior to a central bore 64 of the adapter.
- 2 As shown, the central bore 64 communicates directly with
- 3 the bore 19 of the bearing mandrel 18. The bearing
- 4 adapter 62 is radially supported for rotation in a
- 5 sleeve-type marine bearing 66 assembled in a counter bore
- 6 67 in a rear portion of the outer housing 41. Ports 68
- 7 allow flow of mud through the marine bearing 66 for
- 8 cooling purposes.
- 9 A flex shaft 71 rotationally couples a rotor adapter
- 10 72 to the bearing adapter 62. At each end of the flex
- 11 shaft 71 is a constant velocity universal joint 73
- 12 comprising a series of circumferentially spaced balls 74
- 13 seated in dimples in the flex shaft and in axially
- 14 extending grooves in a skirt portion 76 of the bearing
- 15 adapter 62 or skirt portion 77 of the rotor adapter 72.
- 16 Each coupling or universal joint 73 also includes a ball
- 17 78 on the axis of the flex shaft and a ball seat 79
- 18 received in the respective bearing adapter 62 or rotor
- 19 adapter 72. Each universal joint 73 includes a bonnet 81
- 20 threaded into each of the skirts 76 or 77 to retain the
- 21 joints or couplings 73 in assembly. Cylindrical
- 22 elastomeric sleeves 82 are disposed within each of the
- 23 bonnets 81 to retain grease in the area of the balls 74,
- 24 78 and to exclude contamination from this area. A
- 25 cylindrical tubular flex housing 84 surrounds the flex
- 26 shaft 71 and is fixed to the rear end of the outer
- 27 housing 41 by threading it into the latter at a joint 86.
- 28 The flex housing 84 is bent at a mid plane 87 such that
- 29 the central axis at its rear end is out of alignment with
- 30 its central axis at its forward end by a small angle of,
- 31 for example, 2°. At its rearward end, the flex housing 84
- 32 is fixed to the stator or housing 88 of a power section
- 33 89 of the mud motor 12 by a threaded joint 91. The
- 34 stator 88 is a hollow internally fluted member in which
- 35 operates an externally fluted rotor 92. The power

section 89 formed by the stator 88 and rotor 92 are of 1 generally known construction and operation. The rotor 2 adapter 72 is threaded into the forward end of the rotor 3 92 to rotationally couple these members together. 4 drill string 13 is threaded on the rear end of the stator 5 with or without the use of an adapter. The flex shaft 71 6 converts the rotational and orbital motion of the rotor 7 92 into plain rotation of the bearing mandrel 18. 8 Referring particularly to FIGS. 3 and 4, the outer 9 housing 41 is formed with a pocket or elongated recess 10 101 rearward of the thrust bearing units 44, 46. 11 pocket 101 is milled or otherwise cut out of the wall of 12 the outer housing 41 with an included angle of 90° in the 13 plane of FIG. 4 transverse to the longitudinal axis of 14 the housing 41. Surrounding the pocket 101 is a 15 relatively shallow seat or recess 102 similarly cut into 16 the wall of the housing 41. When viewed in the plane of 17 FIG. 4, this seat has a cylindrical arcuate surface area 18 103 concentric with the axis of the housing 41 and 19 radially extending surfaces 104. 20 An elastomeric sarcophagus 106 of polyurethane or 21 other suitable material has exterior surfaces generally 22 conforming to the surfaces of the pocket 101. 23 sarcophagus 106 is configured with a round bottom slot 24 107 for receiving a sonde 108. More specifically, the 25 slot 107 is proportioned to receive a standard 26 commercially available sonde of a size which, for 27 example, can be 1-1/4" diameter by 19" long. 28 understood that the sarcophagus may be configured with a 29 slot to fit sondes of other standard sizes such as 1" 30 diameter by 8" long or a secondary sarcophagus may be 31 provided to increase the effective size of a smaller 32 sonde to that of the larger size. An arcuate cover plate 33 109 of steel or other suitable material is proportioned 34

to fit into the area of the seat 102 to cover and

otherwise protect the sonde 108 from damage during 1 2 drilling operations. The cover 109 is proportioned, when installed in the seat 102, to provide an outer 3 cylindrical surface 111 that lies on the same radius as 4 5 that of the outer cylindrical surface of the housing 41 6 surrounding the pocket or slot 101. The cover 109, is 7 provided with a plurality of longitudinal through slots 8 112, to allow passage of electromagnetic signals transmitted from the sonde 108. The slots 112 are filled 9 with non-metallic material such as epoxy to exclude 10 11 contaminates from passing into the pocket 101 or otherwise reaching the sonde 108. Additionally, for 12 purposes of allowing the sonde to transmit signals over a 13 14 wide angle, the body of the housing 41 is drilled with holes 113 which are filled with epoxy or other non-15 metallic sealant. A shallow groove 114 is cut in a 16 17 generally rectangular pattern in the surface 103 around the pocket 101 to receive an O-ring seal 116. 18 The round bottom slot or groove 107 in the 19 sarcophagus is dimensioned to provide a friction fit with 20 21 the sonde 108. This permits the sonde 108 to be rotated or rolled on its longitudinal axis to "clock" it by 22 registering its angular orientation relative to the plane 23 of the bend in the flex housing 84 as is known in the 24 25 art. 26 The cover or plate 109 is retained in position over 27 the sonde 108 by a plurality of screws 117 assembled 28 through holes 118 in the cover and aligned with threaded 29 holes 119 formed in the outer housing 41. The screw holes 118, 119 are distributed around the periphery of 30 the cover 109. The O-ring 116 seals against the inside 31 surface of the cover 109 to exclude contaminates from 32 entering the pocket 101 during drilling operations. 33

The sarcophagus 106 is proportioned so that it is

compressed by the cover 109 around the sonde 108 when the

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- screws 117 draw the cover tight against the seat surface
- 2 103. This compression of the sarcophagus 106 increases
- 3 its grip on the sonde 108 so that the sonde is locked in
- 4 its adjusted "clocked" position. The elastomeric
- 5 property of the sarcophagus 106, besides enabling it to
- 6 resiliently grip the sonde when compressed by the cover
- 7 109, can serve to cushion the sonde 108 from excessive
- 8 shock forces during drilling operation.
- 9 Other resilient mounting structures for the sonde
- 10 108 are contemplated. For example, the sonde 108 can be
- 11 retained in the pocket 101 by resilient steel straps
- 12 arranged to overlie the sonde as it lies in the pocket
- 13 101. The straps can be retained in place by suitable
- 14 screws or other elements.
- 15 When the mud motor 12 is operated, mud or water
- 16 passing between the stator 88 and rotor 92 travels
- 17 through the transmission and bearing sections of the mud
- 18 motor bounded by the flex housing 84, outer housing 41,
- 19 and lower housing 23 and is delivered to the bit 11.
- 20 More specifically, the mud flows through the annulus
- 21 between the flex shaft 71 and an inner bore 120 of the
- 22 flex housing 84. From this annulus, the mud enters the
- 23 central bore 64 of the bearing adapter through the
- 24 angularly drilled holes 63. The mud flows from this bore
- 25 64 through the axial bore 19 in the bearing mandrel 18.
- 26 From the foregoing description, it can be seen that
- 27 the disclosed arrangement in which the sonde is received
- 28 in the wall of a main housing part, namely the outer
- 29 housing 41, the sonde can be disposed guite close to the
- 30 bit 11 with minimal hardware and without complexity. As
- 31 seen, the flow of mud from the power section 89 to the
- 32 bit 11 is unrestricted and the diameter of the
- 33 transmission section is not unnecessarily enlarged beyond
- 34 that which is already required for the necessary bearings
- 35 and other componentry. By locating the sonde 108 close

to the bit 11, much greater accuracy in monitoring and tracking the progress of the boring process over that possible with the prior art is achieved.

Operation of the mud motor to steer the pipe string 4 along its desired path will be evident to those skilled 5 in the art. Typically, to adjust the direction of the 6 bore, the drill string is rotated to point the bit in the 7 direction of the needed adjustment. The orientation of 8 the bit is transmitted to a surface receiver by the 9 The drill string is held against rotation while 10 the mud motor rotates the bit and the drill string is 11 thrust forward to redirect the direction of the bore. 12 13 The disclosed mud motor provides a unique function that is enabled by the provision of the forward set of thrust 14 bearings 44. These bearings 44 allow the mud motor to 15 16 operate to rotate the bit 11 when the drill string is being pulled out of the hole so that during this 17 withdrawal process the hole is conveniently reamed or 18 19 enlarged with a hole opening device.

20 FIGS. 5 and 6 illustrate additional embodiments of the invention. Parts like those described in connection 21 with the embodiment of FIGS. 1 - 4 are designated with 22 the same numerals. In FIG. 5, a tubular cylindrical 23 collar 126 housing the sonde 108 is assembled around a 24 housing 127 that corresponds to the outer housing 41 of 25 26 the embodiment of FIGS. 1 - 4. The collar 126 is formed 27 of steel or other suitable material. The collar 126 is 28 fixed longitudinally and angularly relative to the 29 housing 127 by set screws 128 threaded into the wall of the collar 126 and received in blind holes 129 drilled in 30 the wall of the housing 127. The sonde 108 is received 31 in the sarcophagus 106 and protected by the cover 109 as 32 previously described. Various other techniques, besides 33 the set screws 128, can be used to fix the collar 126 on 34 the housing 127. The collar 127 can be threaded onto the 35

housing 127 where the housing, for example, is provided with external threads and a stop shoulder. Another technique is to weld the collar 126 to the housing 127. If desired or necessary, the sonde 108 can be assembled in a hole aligned with the axis of the collar 126 and open at one end. The opening can be plugged with a suitable closure during use.

FIG. 6 illustrates another embodiment of the 8 invention. A coupler 131 is disposed between the bearing 9 mandrel 18 and the bit 11. The coupler 131 has external 10 threads mated with the bit box 21 and internal threads 11 receiving the bit 11. The coupler 131 is formed with the 12 pocket 101 for receiving the sonde 108. The coupler 131 13 has a central bore for conveying mud from the bearing 14 mandrel 18 to the bit 11. If desired, an axially 15 oriented hole can be used instead of the open face pocket 16 101 to receive the sonde 108 and the hole can be plugged 17 by a suitable closure. Still further, if it is desired 18 to locate the sonde 108 at the center of the coupler 131, 19 water corsets or passages can be drilled or otherwise 20 formed axially through the coupler and circumferentially 21 spaced about the sonde to allow mud to pass through the 22 23 coupler.

while the invention has been shown and described with respect to particular embodiments thereof, this is for the purpose of illustration rather than limitation, and other variations and modifications of the specific embodiments herein shown and described will be apparent to those skilled in the art all within the intended spirit and scope of the invention. Accordingly, the patent is not to be limited in scope and effect to the specific embodiments herein shown and described nor in any other way that is inconsistent with the extent to which the progress in the art has been advanced by the invention.

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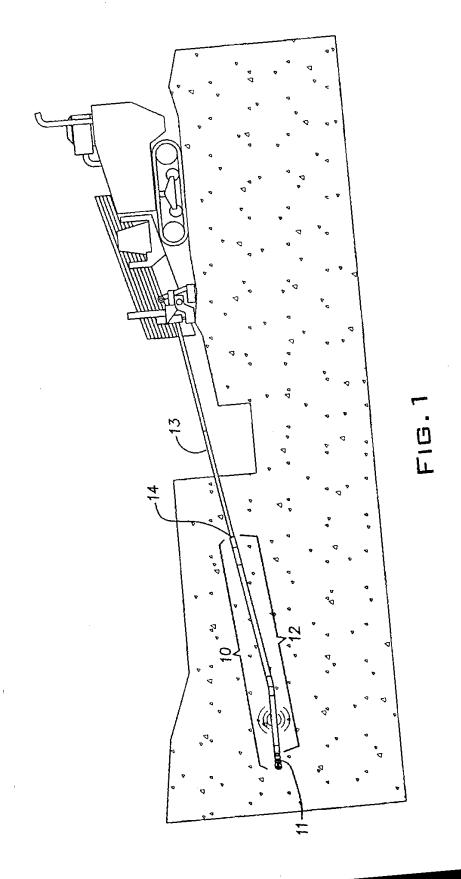
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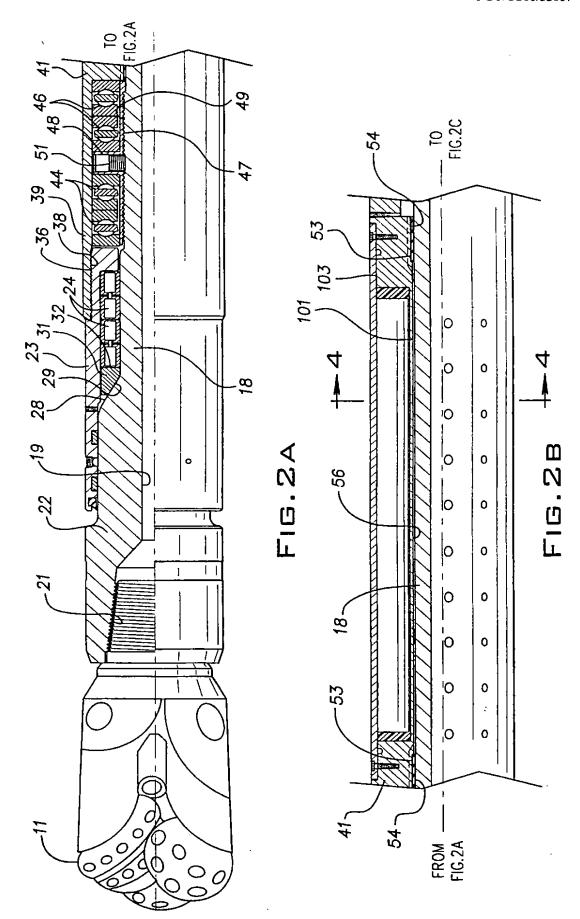
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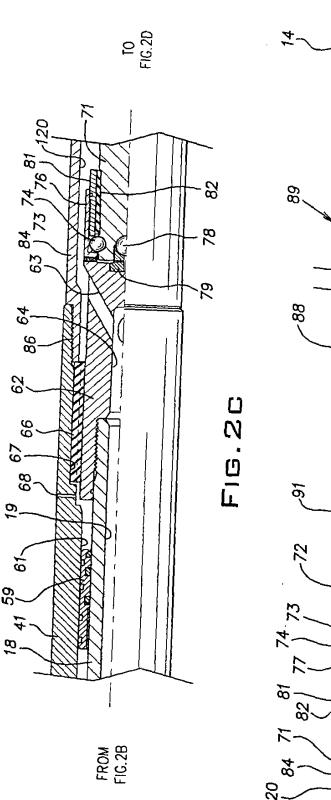
- A bottom hole assembly for horizontal drilling 1 comprising a mud motor having a bit mounted on its 2 forward end, the mud motor including axially extending 3 bearing, transmission and power sections, said sections 4 including a bent housing, an axially extending bearing 5 mandrel rotationally and axially supported in the bearing 6 section, the bearing section and the power section having 7 respective axes at a small angle relative to one another, 8 the bit being carried by the bearing mandrel, the 9 transmission section transmitting torque from the power 10 section to the bearing mandrel to rotationally drive the 11 bit, and a sonde for electromagnetic signalling of its 12 location and other data relating to its orientation to 13 the surface, the sonde being located on the bottom hole 14 assembly between the bit and the power section. 15
 - 2. A bottom hole assembly as set forth in claim 1,
 wherein the sonde is located within a space limited by
 the ends of the bearing mandrel.
 - 3. A bottom hole assembly as set forth in claim 1,
 wherein the bearing section includes a bearing
 rotationally supporting the bearing mandrel for rotation
 about an axis and having an outer radius, the sonde lying
 in a zone limited by the outer radius of said bearing.
 - 4. A bottom hole assembly as set forth in claim 3,
 wherein said sonde is rearward of said bearing.
 - 5. A mud motor for horizontal directional drilling comprising a bearing section, a transmission section, and a power section, the bearing section including a shaft for driving a bit and bearing structure for radially and

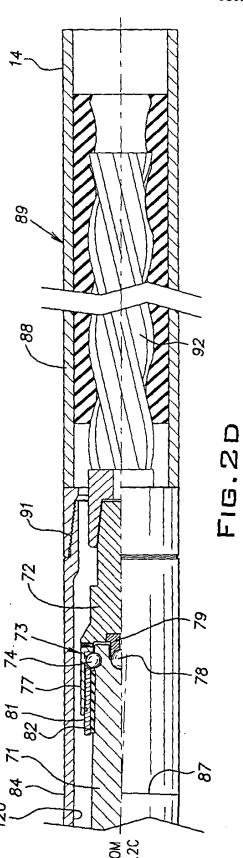
- 5 axially supporting the shaft, the power section including
- 6 a rotor operated by fluid power of mud received from a
- 7 drill string, the transmission section transferring power
- 8 from the rotor of the power section to the shaft, the
- 9 bearing, transmission and power sections having
- 10 respective surrounding housing areas, and a sonde carried
- 11 on a housing area forward of the power section.
 - 6. A mud motor as set forth in claim 5, wherein the
 - 2 housing area associated with the bearing section
 - 3 surrounds the shaft, said shaft surrounding housing area
 - 4 having a wall with a pocket, the sonde being disposed in
 - 5 said pocket.
 - 7. A mud motor as set forth in claim 6, including a
 - 2 cover overlying the pocket to protect the sonde removably
 - 3 secured to the shaft surrounding housing area.
 - 8. A mud motor as set forth in claim 7, wherein
- 2 said cover is secured to said surrounding housing area
- 3 with a plurality of screws threaded into said shaft
- 4 surrounding housing area.

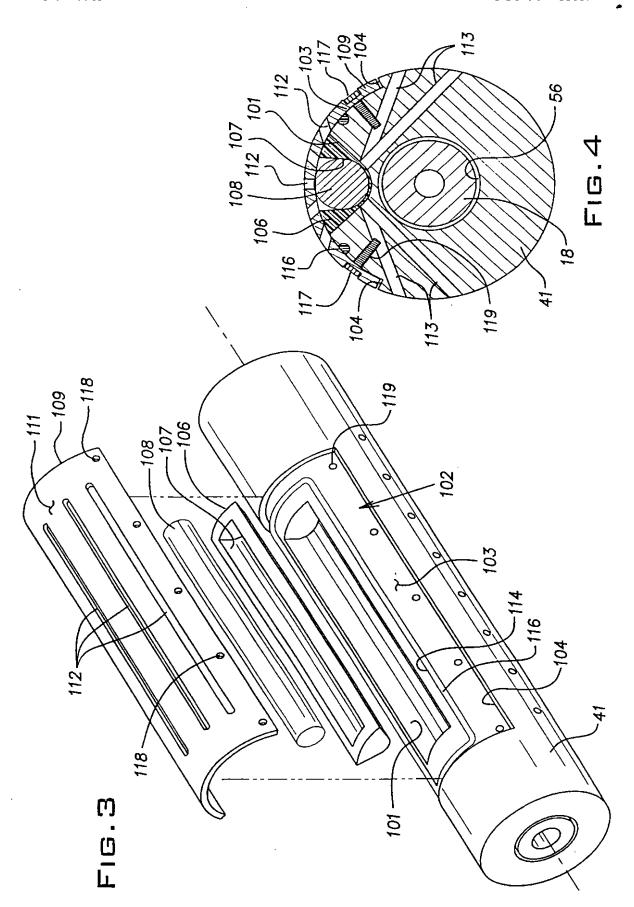


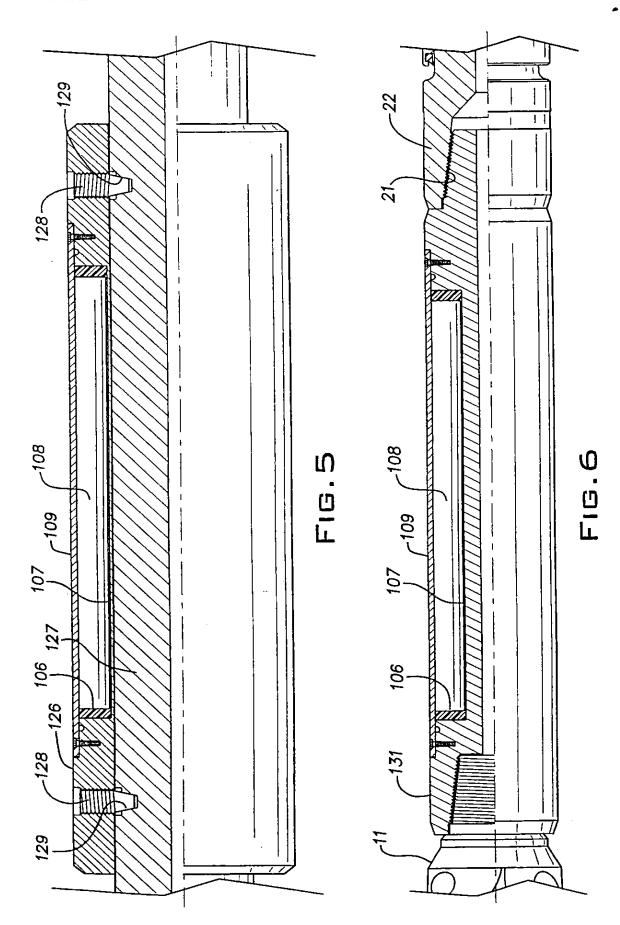
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INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/35067

		101/0300/3300			
A. CLASSIFICATION OF SUBJECT MATTER					
IPC(7) : E21B 7/04, 25/16, 47/02					
US CL	: 175/45, 73; 73/152.43	and and stand Courts AIDG	·		
B. FIEL	According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED				
					
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C. DOC	UMENTS CONSIDERED TO BE RELEVANT		· · · · · · · · · · · · · · · · · · ·		
Category *	Citation of document, with indication, where a	poropriate of the relevant passages	Relevant to claim No.		
Y	RE 35,790 E (PUSTANYK et al.) 12 May 1998 (1		1-8		
	lines 23-37; column 4, lines 22-28.		[]		
Y	US 5,269,383 A (FORREST) 14 December 1993 (1	4 12 93) column 4 lines 33-53	1-8		
Y. P	-	•			
	US 6,050,350 A (MORRIS et al.) 18 April 2000 (18.04.00), figures 1 and 2; claim 1.		3, 4, and 6-8		
Y	US 5,934,391 A (COX) 10 August 1999 (10.08.99)	figure 1; column 2, lines 24-26.	8		
A	US 5,931,240 A (COX) 03 August 1999 (03.08.99)) .	1-8		
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